

#### **Hospital Services**

North Shore Hospital Campus Shakespeare Road, Takapuna Private Bag 93-503, Takapuna Auckland 0740

Telephone: 09 489 0527

13 April 2021



Dear

Re: OIA request - Patients in isolation

Thank you for your Official Information Act request received 19 March seeking information from Waitematā District Health Board (DHB) about patients placed in isolation.

You requested the following information:

I would like to request, under the Official Information Act, information about patients placed in isolation within DHB hospitals to prevent the spread of disease.

Could you please provide the following data in an excel spreadsheet?

- 1. The number of patients placed in isolation in a DHB hospital in the 2018, 2019 and 2020 calendar years, broken down by year.
- 2. What condition each person had?
- 3. What type of isolation were they in contact, droplet, airborne, protective etc.? Also, can you please tell me:
  - 4. What the longest stint in continuous isolation was during those three years, what condition it was for and what type of isolation they were in?
  - 5. What PPE and protocols are required for each type of isolation (contact, droplet, airborne, protective, etc)?
  - 6. How many of last year's cases were COVID-19 related?
  - 7. Did the emergence of COVID-19 cause changes to any of the PPE or protocols required?

Before responding to your specific questions, it may be useful to provide some context about our services.

Waitematā is the largest and one of the most rapidly growing DHBs in the country, serving a population of around 650,000 across the North Shore, Waitakere and Rodney areas. We are the largest employer in the district, employing around 8,600 people across more than 80 locations.

In addition to providing care to our own resident population, we are the Northern Region provider of forensic mental health services and child rehabilitation services, plus the metro Auckland provider of child community dental services and community alcohol and drug services.

Please see our responses to your questions below:

- 1. The number of patients placed in isolation in a DHB hospital in the 2018, 2019 and 2020 calendar years, broken down by year.
- 2. What condition each person had.
- 3. What type of isolation were they in contact, droplet, airborne, protective etc.? We are unable to provide figures for the number of patients placed in to isolation at either North Shore or Waitakere hospitals specifically for the purpose of containing the spread of a communicable disease. This is because isolation or side rooms are used for a number of reasons including for palliative care purposes, security or other.

To provide the information you are seeking would require the review of thousands of individual clinical records of patients.

Due to the sensitivity of this information, frontline clinical staff would need to review these individual clinical files and it would not be appropriate to use a contractor to review the records. This would take the frontline staff away from their clinical work and prejudice our ability to provide core clinical services.

We have considered whether charging or extending the timeframe for responding to this aspect of your request would assist us in managing this work and have concluded it would not. We have, therefore, determined to refuse this element of your request under Section 18(f) of the Official Information Act due to substantial collation and research.

However, we are able to provide the total number of times isolation or side room was used at either North Shore or Waitakere hospitals for all purposes, including those outlined above, and how many of these events were COVID-19—related.

Due to the limited amount of information we are providing, the figures are provided in the table below rather than in an excel spreadsheet, as requested:

Table 1: Number of patients placed in isolation or a side room for any event including medical, palliative, security or other broken down by year from 2018-2020

Year	COVID-19 related	Isolation event –	
		medical, palliative, security or other	
2018	0	4,011	
2019	0	3,310	
2020	38*	3,103	

<sup>\*</sup>Isolated as a confirmed case of COVID-19 or as a patient under investigation.

These figures should be seen in the context of our total patient admission numbers which average around 130,000 per year.

## 4. What the longest stint in continuous isolation was during those three years, what condition it was for and what type of isolation they were in?

As above, we are unable to provide this information as it would require the review of individual clinical records of patients.

Due to the sensitivity of this information, frontline clinical staff would need to review these individual clinical files and it would not be appropriate to use a contractor to review the records. This would take the frontline staff away from their clinical work and prejudice our ability to provide core clinical services.

We have considered whether charging or extending the timeframe for responding to this aspect of your request would assist us in managing this work and have concluded it would not. We have,

therefore, determined to refuse this element of your request under Section 18(f) of the Official Information Act due to substantial collation and research.

## 5. What PPE and protocols are required for each type of isolation (contact, droplet, airborne, protective, etc)?

Transmission-based precautions (TBPs) are used in addition to standard precautions when standard precautions alone may be insufficient to prevent transmission of infection. TBPs are used for patients known or suspected to be infected or colonised with epidemiologically important or highly transmissible pathogens that can transmit or cause infection.

The type of TBPs applied is based upon the mode of transmission of the pathogen. For diseases that have multiple routes of transmission, more than one TBP category is applied. The following are the routes of transmission:

- airborne transmission, e.g., pulmonary tuberculosis, chickenpox, measles
- droplet transmission, e.g., influenza, pertussis (whooping cough), rubella
- contact transmission (direct or indirect), e.g., viral gastroenteritis, MRSA, scabies.

Please find attached for your information a copy of our Patient Management protocols for transmission based precautions - **Attachment 1**.

#### 6. How many of last year's cases were COVID-19 related?

As previously stated, 38 people were isolated as either a confirmed case or a patient under investigation for COVID-19.

It should be noted that patients presenting with *any* symptoms of a respiratory tract infection or risk factors are initially isolated as a precaution and not because they have COVID-19 or another infectious disease. We approach such situations with an "abundance of caution" to avoid inadvertent onward transmission of COVID-19.

Once we establish that patients do not have an acute respiratory tract infection and their respiratory panel is negative (which includes COVID-19), then they no longer require isolation. For the majority of patients, this is done within 24hrs.

# 7. Did the emergence of COVID-19 cause changes to any of the PPE or protocols required? Yes, as this was a new type of Coronavirus, SARS CoV-2, and the disease was spread across multiple countries, this was declared a pandemic, which created a new health and safety risk for hospitals worldwide to manage.

As the disease was constantly evolving, so did the management of the patients and increased use of PPE. There was also a combination of transmission-based precautions i.e. PPE used to manage COVID-19 patients based on a patient's clinical presentation.

At Waitematā DHB, patients are screened for COVID-19 and, if needed, an appropriate management stream is determined based on clinical criteria and epidemiological risk (where there is a higher index of suspicion of COVID-19).

Our policies and procedures for COVID-19 management are continuously updated to comply with national Ministry of Health and global Centers for Disease Control and Prevention (CDC) and World Health Organisation (WHO) guidelines.

You have the right to seek an investigation and review by the Ombudsman of the decisions taken in providing this response. Information about how to seek a review is available at <a href="https://www.ombudsman.parliament.nz">www.ombudsman.parliament.nz</a> or freephone 0800 802 602.

I trust that this information is helpful.

Waitematā DHB supports the open disclosure of information to assist community understanding of how we are delivering publicly funded healthcare. This includes the proactive publication of anonymised Official Information Act responses on our website from 10 working days after they have been released.

If you consider there are good reasons why this response should not be made publicly available, we will be happy to consider your views.

Yours sincerely



**Executive Director Hospital Services Waitematā District Health Board** 

### 4. Patient Management (Contact, Droplet, Airborne, Enteric)

	Contact	Droplet	Airborne Precautions	Enteric
	Precautions	Precautions	Airborne Precautions	Precautions
Hand Hygiene	Yes	Yes	Yes	Yes
As per the Five				NB after patient
Moments and the				contact, wash hands
Hand Hygiene Policy				with soap and water
Personal Protective	Yes	Yes	Yes	Yes
Equipment (PPE)				
– Gloves	For contact with	For contact with	For contact with	For direct contact with
	patient and/or	respiratory secretions	respiratory secretions	patient and or patients
	contaminated	and/or contaminated		environment
	surfaces/equipment	surfaces/equipment		
PPE	Yes	No	No	Yes
– Gown		as per standard	As per standard	
	For contact with	precautions	precautions	For contact with
	patient and/or			patient and/or surfaces
	contaminated			equipment
	surfaces/equipment			
PPE	No	Yes	Yes	Yes
– Mask	140	163	163	les
Widok		Surgical Mask	High particulate filter	High particulate N95
		When within 1-2	mask - N95	mask if patient has
		meters of the patient	Before entering the	suspected Noro Virus
		·	room remove after	and is actively vomiting
			exiting room and	
			closing door	
	Contact	Droplet	Airborne Precautions	Enteric
	Precautions	Precautions		precautions
PPE	As indicated	As indicated	As indicated	As indicated
<ul> <li>Protective Eyewear</li> </ul>				
– Protective Eyewear				
- Protective Eyewear	refer to standard	refer to standard	refer to standard	refer to standard
– Protective Eyewear	refer to standard precautions	refer to standard precautions	refer to standard precautions	refer to standard precautions
- Protective Eyewear				
	precautions	precautions	precautions	precautions
PPE	precautions  Must be applied (as	precautions  Must be applied (as	precautions  Must be applied (as	precautions  Must be applied (as
PPE Application &	precautions  Must be applied (as required) before	precautions  Must be applied (as required) before	precautions  Must be applied (as required) before	precautions  Must be applied (as required) before
PPE	precautions  Must be applied (as required) before entering the patients	precautions  Must be applied (as required) before entering the patients	precautions  Must be applied (as required) before entering the patients	precautions  Must be applied (as
PPE Application &	precautions  Must be applied (as required) before	precautions  Must be applied (as required) before	must be applied (as required) before entering the patients room, N 95 mask	precautions  Must be applied (as required) before entering the patients
PPE Application &	must be applied (as required) before entering the patients room and disposed of	must be applied (as required) before entering the patients room and disposed of	must be applied (as required) before entering the patients room, N 95 mask	precautions  Must be applied (as required) before entering the patients room, N 95 mask if
PPE Application &	Must be applied (as required) before entering the patients room and disposed of before leaving the	must be applied (as required) before entering the patients room and disposed of before leaving the	must be applied (as required) before entering the patients room, N 95 mask should not be removed	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be
PPE Application &	must be applied (as required) before entering the patients room and disposed of before leaving the	must be applied (as required) before entering the patients room and disposed of before leaving the	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after
PPE Application & Disposal	must be applied (as required) before entering the patients room and disposed of before leaving the patients room	must be applied (as required) before entering the patients room and disposed of before leaving the patients room	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room
PPE Application & Disposal  WDHB IP&C Door	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room
PPE Application & Disposal  WDHB IP&C Door	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be
PPE Application & Disposal  WDHB IP&C Door	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions
PPE Application & Disposal  WDHB IP&C Door Sign	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood &	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items)	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items) Isolation Room	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room  Yes  The Enteric Precautions door sign must be displayed  Yes
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items)	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes  May cohort for specific	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes  May cohort curtains	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes  A negative pressure	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed  Yes  Yes  Yes  With dedicated
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items) Isolation Room	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes  May cohort for specific MDRO's	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes  May cohort curtains closed in certain cases	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes  A negative pressure ventilated room if	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room  Yes  The Enteric Precautions door sign must be displayed  Yes
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items) Isolation Room	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes  May cohort for specific	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes  May cohort curtains	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes  A negative pressure ventilated room if possible.	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed  Yes  Yes  Yes  With dedicated
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items) Isolation Room	must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes  May cohort for specific MDRO's	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes  May cohort curtains closed in certain cases	Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes  A negative pressure ventilated room if possible. Door must stay closed	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed  Yes  Yes  Yes  With dedicated
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items) Isolation Room	Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes  May cohort for specific MDRO's Door may stay open	precautions  Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes  May cohort curtains closed in certain cases	precautions  Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes  A negative pressure ventilated room if possible.	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed  Yes  Yes  Yes  With dedicated
PPE Application & Disposal  WDHB IP&C Door Sign  Yellow Bag (for heavily blood & body fluid soiled items) Isolation Room (Single)	Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Contact Precautions door sign must be displayed  Yes  Yes  May cohort for specific MDRO's Door may stay open	Must be applied (as required) before entering the patients room and disposed of before leaving the patients room  Yes  The Droplet Precautions door sign must be displayed  Yes  Yes  May cohort curtains closed in certain cases Door may stay open	Must be applied (as required) before entering the patients room, N 95 mask should not be removed until after exiting patients room  Yes  The Airborne Precautions door sign must be displayed  Yes  Yes  A negative pressure ventilated room if possible. Door must stay closed at ALL times	precautions  Must be applied (as required) before entering the patients room, N 95 mask if indicated should not be removed until after exiting patients room Yes  The Enteric Precautions door sign must be displayed  Yes  Yes  Yes  With dedicated bathroom facilities

**Note:** Some patients may require a combination of the above precautions e.g. Contact and Airborne Precautions for Chicken Pox. Refer to IPC Disease Specific Issues Policy for further details of isolation requirements.